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UNITED STATES PATENT APPLICATION TRANSMITTAL FORM

BOX PATENT APPLICATION
 ASSISTANT COMMISSIONER FOR PATENTS
 Washington, D.C. 20231

Docket No.: YOR9-2000-0452

JC914 U.S. PTO
 09/698894
 10/27/00

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Anni Rosa Coden and Eric William Brown

For: SYSTEM, METHOD AND APPARATUS PROVIDING
 COLLATERAL INFORMATION FOR A VIDEO/AUDIO STREAM

Enclosed are:

XXX Specification (27 pps); Claims (6 pps); Abstract (1pp);XXX 7 sheets of drawings;XXX Declaration and Power of Attorney;

XXX An assignment of the invention to: INTERNATIONAL BUSINESS
 MACHINES CORPORATION including \$40.00 recordation fee and
 Assignment Recordation Form Cover Sheet;

 Information Disclosure Statement (with copies of patent); Form - PTO-1449; Verified Statement Claiming Small Entity Status; and

XXX Priority of U.S. Provisional Patent Application Serial No. 60/218,966,
 filed on 7/17/00 and U. S. Provisional Patent Application Serial No.
60/193,910 filed on 3/31/00 are claimed under 35 U.S.C. §119 (e).

The Filing Fee is calculated below.

| CLAIMS AS FILED | | | | |
|---------------------------------|---------------------|---------------------|-------------|------------------------------|
| (1) For | (2) Number Filed | (3) Number Extra | (4) Rate | (5) Basic Fee \$710.00 |
| Total Claims | 34 - 20 = | 14 | x \$18.00 | \$252.00 |
| Independent Claims | 5 - 3 = | 2 | x \$78.00 | \$156.00 |
| Multiple Dependent Claim Fee | | x \$260.00 = \$0.00 | | |
| TOTAL FILING FEE | | | | \$1118.00 |

1/2 FILING FEE FOR SMALL ENTITY

N/A

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XXX A check in the amount of \$ 1158.00 for the filing fee (\$1118) and assignment recordal fee (\$40) is enclosed.

XXX The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

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October 27, 2000
Date of Signature

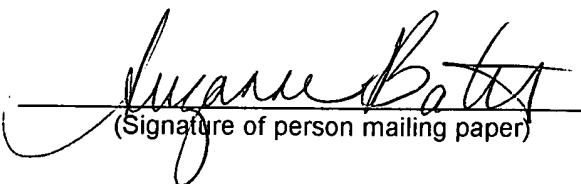


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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EL697804821US**, service under 37 CFR §1.10 and is addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on October 27, 2000.

Suzanne Bates
(Typed name of person mailing paper)



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